



Southwest Securities, Inc. and/or Broker/Dealers for which it clears
 Southwest Securities, Inc. Member NYSE/NASD/SIPC

Joint Account Agreement

Use this form to add an account holder to an individual account, thus converting it to a joint account.

1. Joint Account Type. (Check ONE.)

- A. Joint Tenants with Rights of Survivorship.** In the event of the death of either of the undersigned, the entire interest in the joint account shall be vested in the survivor or survivors on the same terms and conditions as therefore held, without in any manner releasing the decedent's estate from the liability provided for in the agreement. *(NOT available to residents of LA.)*
- B. Tenants in Common.** In the event of the death of either or any of the undersigned, the interests in the account as of the close of business on the date of the death of the decedent (or on the next following business day if the date of death is not a business day), shall be 50%/50% unless otherwise noted, _____% / _____%. *(NOT available to Non-Resident Aliens.)*
- C. Community Property.** A joint account in which each person has equal rights to the income from stocks and bonds, as well as to the appreciated value of those assets. *(ONLY available to residents of AZ, CA, ID, LA, NM, NV, TX, WA and WI.)*

2. Account Information.

Account Number: _____

Full Name of Applicant (First, Middle, Last) Social Security # _____ Date of Birth _____

Full Name of Co-Applicant (First, Middle, Last) Social Security # _____ Date of Birth _____

Home Address (P.O. Box unacceptable) City _____ State/Province _____ Country _____ Zip _____

Mailing Address (P.O. Box acceptable) City _____ State/Province _____ Country _____ Zip _____

Home Phone Number _____ Fax Number _____ Email Address _____

Yes No Are you or co-applicant or spouse an employee of or affiliated with a securities firm, exchange or any of its affiliated companies?
(If yes, please specify the company name and address to which duplicate statements and confirmations should be sent.)

Yes No Are you or co-applicant or spouse a director, officer, or 10% shareholder of any publicly traded company?
(If yes, please specify company name and symbol.)

Yes No Does any other person have Power of Attorney over this account? If yes, please specify individual's full name.
(We must have a copy of the written agreement conferring authority, such person's name, relationship to you and their investment experience.)

3. Joint Account Agreement. (Please read and sign.)

You agree, jointly and severally, that each of you have the authority on behalf of the account to do all acts and have all rights, responsibilities and obligations that an individual account holder may have. You also agree that each of you shall have authority on behalf of the account to buy, sell and otherwise deal in, through Your Broker, stock, bonds and other securities and to receive on behalf of the joint account demands, notices, confirmations, reports, statements of account, and communications of every kind and to dispose of same; and generally to deal with the Broker on behalf of the joint account as fully and completely as if customer alone were interested in said account, all without notices to the other or others interested in said account. Your Broker is authorized to follow the instructions of any of the account holders in every respect concerning the joint account. In the event of such deliveries of securities or payments of monies to any of the joint account parties, Your Broker shall be under no duty or obligation to inquire into the purpose or propriety of any such demand for delivery of securities or payment of monies. The authority hereby conferred shall remain in force until Your Broker receives written notice of the revocation. Notwithstanding the foregoing, Your Broker is authorized, at its discretion, to require joint account action by the joint tenants with respect to any matter concerning the joint account.

The liability of the undersigned with respect to said account shall be joint and several. All property shall be subject to a lien in the Broker's favor for the discharge of the obligations of the joint account to the Broker, such lien to be in addition to and not in substitution of the rights and remedies the Broker would otherwise have.

It is further agreed that in the event of the death of either of any of the undersigned, the estate of any of the undersigned who shall have died shall be liable and each survivor shall continue to be liable jointly and severally to the Broker for any net debit balance or loss in said account in any way resulting from the completion of transactions initiated prior to the receipt by the Broker of the written notice of the death of the descendant or incurred in the liquidation of the account or the adjustment of the interests of the respective parties.

X _____ **X** _____
 Applicant's Signature Date Co-Applicant's Signature Date